

IMAGING CENTER LIABILITY APPLICATION
INSTRUCTIONS

1. PLEASE PRINT LEGIBLY. IF THE APPLICATION IS APPROVED, THE POLICY WILL BE BASED ON THE INFORMATION PROVIDED.
2. PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, PRINT, "N/A".
3. IF ADDITIONAL SPACE IS NEEDED, PLEASE USE THE SUPPLEMENTAL INFORMATION SECTION.

I. PRODUCER INFORMATION
A. FIRM INFORMATION

FIRM NAME _____

INDIVIDUAL NAME _____

MAILING ADDRESS _____

PHONE _____

CITY/STATE/ZIP _____

E-MAIL _____

II. APPLICANT INFORMATION
A. CONTACT INFORMATION

APPLICANT NAME _____

MAILING ADDRESS _____

COUNTY _____

STREET ADDRESS (IF DIFFERENT) _____

WEBSITE ADDRESS _____

FEDERAL TAX ID NUMBER _____

B. REQUESTED COVERAGE EFFECTIVE DATE (12:01 AM): _____

THIS DATE CANNOT BE EARLIER THAN THE EXPIRATION DATE OF THE APPLICANT'S CURRENT POLICY.

C. REQUESTED COVERAGE EXPIRATION DATE (12:01 AM): _____

ANNUAL POLICY TERMS WILL BEGIN AND END ON THE SAME MONTH AND DAY.

III. COVERAGES, LIMITS AND DEDUCTIBLES

COVERAGE (*)	REQUESTED LIMITS	POLICY TYPE	DEDUCTIBLE (PRIMARY COVERAGE)
<input type="checkbox"/> PROFESSIONAL LIABILITY FACILITY		<input type="checkbox"/> OCCURRENCE <input type="checkbox"/> CLAIMS-MADE RETRO-DATE: _____	
<input type="checkbox"/> GENERAL LIABILITY FACILITY		<input type="checkbox"/> OCCURRENCE <input type="checkbox"/> CLAIMS-MADE RETRO-DATE: _____	
<input type="checkbox"/> EXCESS - PROFESSIONAL LIABILITY FACILITY		<input type="checkbox"/> OCCURRENCE <input type="checkbox"/> CLAIMS-MADE RETRO-DATE: _____	
<input type="checkbox"/> EXCESS - GENERAL LIABILITY FACILITY		<input type="checkbox"/> OCCURRENCE <input type="checkbox"/> CLAIMS-MADE RETRO-DATE: _____	

(*) IF THE APPLICANT HAS ENTITIES RELATED TO THE NAMED INSURED (SUBSIDIARIES, JOINT VENTURES, LLCs, PARTNERSHIPS, ETC.), PLEASE COMPLETE SECTION II (SCHEDULE OF RELATED ENTITIES) OF THE IMAGING CENTER SUPPLEMENTAL APPLICATION OR ATTACH A COPY OF THE APPLICANT'S ORGANIZATIONAL CHART WHICH INCLUDES THE INFORMATION REQUESTED.

IV. GENERAL INFORMATION

A. TYPE OF LEGAL ENTITY (PLEASE PUT AN "X" IN THE APPLICABLE SPACES):

- PROFESSIONAL CORPORATION
- LIMITED LIABILITY CORPORATION (LLC)
- PARTNERSHIP OR PROFESSIONAL ASSOCIATION
- JOINT VENTURE
- FOR PROFIT
- OTHER (PLEASE EXPLAIN): _____
- NON PROFIT

B. ENTITY OWNERSHIP (PLEASE PUT AN "X" IN THE APPLICABLE SPACES):

- PHYSICIAN OWNED
- INDEPENDENTLY OWNED (PLEASE EXPLAIN): _____
- HOSPITAL OWNED
- OTHER (PLEASE EXPLAIN): _____

C. HOW MANY IMAGING CENTER LOCATIONS DOES THE FACILITY HAVE? _____

IF THE APPLICANT HAS MULTIPLE LOCATIONS, ARE ALL LOCATIONS ACCREDITED? YES NO
 IF NO, PLEASE PROVIDE DETAILS: _____

D. DURING THE NEXT 12 MONTHS, ARE THERE ANY PLANS FOR MERGERS OR ACQUISITIONS, OR DOES THE APPLICANT PLAN ON ADDING ANY ADDITIONAL LOCATIONS? YES NO

IF YES, PLEASE EXPLAIN: _____

E. LICENSES HELD BY THE FACILITY: _____

F. CERTIFICATIONS/ACCREDITATIONS HELD BY THE FACILITY:

- CMS
- JCAHO
- AAAHC
- IAC
- ACR
- IMO
- OTHER: _____

PLEASE PROVIDE A COPY OF THE APPLICANT'S CERTIFICATE/ACCREDITATION INCLUDING ANY RECOMMENDATIONS MADE.

G. MEDICAL DIRECTOR:

NAME OF MEDICAL DIRECTOR _____

PHONE NUMBER _____

EMAIL _____

H. ANNUAL PAYROLL:

TOTAL ANNUAL PAYROLL: \$ _____

I. TOTAL PROJECTED ANNUAL REVENUE: \$ _____ **PRIOR YEAR REVENUE:** \$ _____

V. IMAGING CENTER OPERATIONS

A. INDICATE THE TOTAL NUMBER OF READS/SERVICES:

PERFORMED AT THE FACILITY DURING THE LAST 12 MONTHS: _____

EXPECTED TO BE PERFORMED AT THE FACILITY DURING THE NEXT 12 MONTHS: _____

B. INDICATE THE TYPES OF READS OR SERVICES PROVIDED:

UTILIZATION	CURRENT (LAST 12 MONTHS)		PROJECTED (NEXT 12 MONTHS)	
	READS/SERVICES	TOTAL REVENUE	READS/SERVICES	TOTAL REVENUE
X-RAY				
CT/MRI/PET/ULTRASOUND				
MAMMOGRAPHY				
RADIATION ONCOLOGY/THERAPY				
OTHER:				
OTHER:				
OTHER:				

C. IN THE NEXT 12 MONTHS, DOES THE APPLICANT PLAN TO CHANGE ANY OF THE SERVICES IT OFFERS? (I.E. ADDING OR DISCONTINUING ANY SERVICES) YES NO

IF YES, PLEASE DESCRIBE: _____

D. HAVE ANY SERVICES BEEN DISCONTINUED DURING THE LAST 24 MONTHS? YES NO

IF YES, PLEASE DESCRIBE: _____

E. DOES THE FACILITY PROVIDE? INITIAL READS OVER-READS/SECOND READS EXTERNAL PEER REVIEW SERVICES

F. WHAT TYPE OF CONTRAST MEDIA IS BEING ADMINISTERED?

- IONIC _____ %
- NON-IONIC _____ %
- LOW-OSMOLAR _____ %
- OTHER _____ / _____ %

G. IS A PHYSICIAN PRESENT DURING THE INJECTION OF CONTRAST MEDIA? YES NO

IF NO, PLEASE EXPLAIN: _____

V. IMAGING CENTER OPERATIONS (CONTINUED)

H. DOES THE APPLICANT HAVE WRITTEN PROTOCOLS FOR HANDLING ALLERGIC REACTIONS INCLUDING CARDIAC OR RESPIRATORY ARREST? YES NO

IF NO, PLEASE EXPLAIN: _____

I. DOES THE FACILITY PROVIDE MOBILE RADIOLOGY SERVICES? YES NO

IF YES, WHAT PERCENTAGE OF TOTAL SERVICES DOES THIS REPRESENT? _____ %

J. DOES THE APPLICANT USE TELERADIOLOGY SERVICES FOR INTERPRETATION OF READS? YES NO

K. DOES THE APPLICANT PROVIDE ANY TELERADIOLOGY SERVICES TO OTHER ORGANIZATIONS? YES NO

L. IF THE ANSWER IS YES TO EITHER QUESTION J. OR K. ABOVE, PLEASE COMPLETE THE FOLLOWING:

1. IS THE APPLICANT COMPLIANT WITH THE AMERICAN COLLEGE OF RADIOLOGY (ACR) TECHNICAL STANDARDS FOR ELECTRONIC PRACTICE OF MEDICAL IMAGING? YES NO

IF NO, DESCRIBE THE AREAS OF NON-COMPLIANCE: _____

2. IS THE APPLICANT EQUIPPED WITH A DIGITAL PAC RADIOLOGY SYSTEM? YES NO

3. ARE FILMS TRANSMITTED INTERSTATE? YES NO

4. DO ANY "READING" PHYSICIANS RESIDE OUTSIDE OF THE U.S. OR ITS TERRITORIES? YES NO

5. PLEASE PROVIDE ADDITIONAL COMMENTS IF THE APPLICANT WOULD LIKE TO EXPLAIN THE USE OF TELERADIOLOGY SERVICES:

M. DOES THE APPLICANT PROVIDE ANY MEDICAL PROFESSIONAL SERVICES TO NON-PATIENTS (LABORATORY, PHARMACY, ETC.)? YES NO

IF YES, PLEASE EXPLAIN AND PROVIDE ASSOCIATED RECEIPTS OR OUTPATIENT VISITS: _____

N. HAS OR WILL THE APPLICANT CONDUCT RESEARCH WITH RESPECT TO PHARMACEUTICALS, SURGERY, BIOMEDICAL EQUIPMENT OR PSYCHOTHERAPY? YES NO

IF YES, PLEASE DESCRIBE: _____

O. DOES THE APPLICANT HAVE THE FOLLOWING EQUIPMENT AT THE FACILITY?

1. CRASH CART WITH FULL CARDIAC LIFE SUPPORT CAPABILITIES AND NECESSARY IV FLUIDS? YES NO

2. DEFIBRILLATOR? YES NO

3. EKG? YES NO

4. OXYGEN? YES NO

P. DOES THE APPLICANT HAVE A WRITTEN EMERGENCY TRANSPORT POLICY AND AN AGREEMENT WITH A LOCAL HOSPITAL? YES NO

HOSPITAL PROVIDING EMERGENCY CARE:

NAME _____

ADDRESS _____

Q. DOES THE APPLICANT HAVE WRITTEN POLICIES AND PROCEDURES THAT ADDRESS:

1. FORMALIZED PEER REVIEW PROCESS THAT INCLUDES RANDOM OVER-READS? YES NO

2. PROTOCOLS ON MATCHING THE CORRECT PATIENT WITH THE CORRECT DIAGNOSTIC EXAMS? YES NO

3. FORMALIZED GUIDELINES RELATING TO THE COMMUNICATION OF DIAGNOSTIC SERVICES INCLUDING THE FOLLOWING:

A. COMMUNICATING RESULTS TO PATIENTS AND THEIR PHYSICIANS VIA LETTERS OR PHONE CALLS? YES NO

B. COMMUNICATING ABNORMAL FINDINGS TO REFERRING PHYSICIANS NOT ON THE APPLICANT'S MEDICAL STAFF? YES NO

C. COMMUNICATING MAMMOGRAM RESULTS TO PATIENTS AND THEIR REFERRING PHYSICIANS WITHIN 30 DAYS? YES NO

D. COMMUNICATING RESULTS OF A SELF-REFERRED PATIENT TO A PHYSICIAN WHEN CLINICALLY INDICATED? YES NO

E. ACTIVE RECALL OR REMINDER SYSTEM FOR REPEAT EXAMS? YES NO

4. PROCEDURES FOR THE ARCHIVING OF FILMS FOR A SPECIFIC PERIOD OF TIME? YES NO

5. EQUIPMENT SAFETY PROTOCOLS SUCH AS CALIBRATION, IDENTIFYING OPERATING IRREGULARITIES, ETC.? YES NO

6. PERIODIC TRAINING AND IN-SERVICE EDUCATION? YES NO

IF NO FOR ITEMS 1-8 ABOVE – PLEASE EXPLAIN: _____

VI. MEDICAL STAFF

A. PLEASE PROVIDE THE INFORMATION REQUESTED BELOW FOR EACH PHYSICIAN THAT PRACTICES AT THE APPLICANT'S FACILITY. (IF MORE ROOM IS NEEDED, PLEASE ATTACH A SEPARATE ROSTER OF MEDICAL STAFF)

IMPORTANT NOTE: IF COVERAGE IS REQUESTED FOR PHYSICIANS, PLEASE SO STATE ON SECTION III (SCHEDULE OF MEDICAL PROFESSIONALS) OF THE IMAGING CENTER SUPPLEMENTAL APPLICATION. ALSO COMPLETE A SEPARATE PHYSICIAN INDIVIDUAL PROFESSIONAL LIABILITY INSURANCE APPLICATION FOR EACH PHYSICIAN.

PHYSICIAN'S NAME	MEMBER (M), PARTNER (P), SHAREHOLDER (S), EMPLOYEE (E), CONTRACTED PHYSICIAN (C), OR ALL OTHER (AO)	PRIMARY LICENSE NUMBER	INDICATE PRIMARY SPECIALTY	NUMBER OF HOURS PER WEEK OR DAYS PER WEEK EACH PHYSICIAN WILL SPEND AT THE FACILITY

B. ARE THE PHYSICIANS PRACTICING AT THE APPLICANT'S FACILITY BOARD CERTIFIED? YES NO
 IF NO, HOW MANY ARE NOT BOARD CERTIFIED? _____

C. DOES THE FACILITY HAVE ANY PHYSICIANS ON STAFF THAT DO NOT MAINTAIN STAFF PRIVILEGES AT A HOSPITAL? YES NO
 IF YES, PLEASE EXPLAIN: _____

D. IN THE TABLE BELOW, STATE BY TYPE THE NUMBER OF HEALTH PROFESSIONALS (OTHER THAN PHYSICIANS) WHO WORK AT THE FACILITY:

IMPORTANT NOTE: IF COVERAGE IS REQUESTED FOR HEALTH PROFESSIONALS OTHER THAN PHYSICIANS, PLEASE REQUEST SUCH COVERAGE ON SECTION IV (SCHEDULE OF MEDICAL PROFESSIONALS) OF THE IMAGING CENTER SUPPLEMENTAL APPLICATION. IF SEPARATE LIMITS ARE NEEDED FOR ANY INDIVIDUAL, ALSO SUBMIT AN APPLICATION FOR EACH SUCH INDIVIDUAL.

ALLIED PROFESSIONALS EXCEPT PHYSICIANS	# EMPLOYED	# VOLUNTEERS	# CONTRACTED
NURSE PRACTITIONERS			
PHYSICIAN ASSISTANTS			
LPNs/RNs			
MEDICAL TECHNICIANS			
RADIOLOGICAL TECHNICIANS (DIAGNOSTIC)			
RADIOLOGICAL TECHNICIANS (THERAPY)			
OTHER (PLEASE SPECIFY):			

E. DOES THE APPLICANT SUPERVISE ANYONE OTHER THAN ITS OWN EMPLOYEES? YES NO
 IF YES, DESCRIBE THE RESPONSIBILITY OF BOTH THE SUPERVISORY AND SUPERVISED INDIVIDUALS, AND THE RELATIONSHIPS BETWEEN THE INDIVIDUALS: _____

ALSO INDICATE, BY TYPE OF MEDICAL PROFESSIONAL, THE NUMBER OF INDIVIDUALS THE FACILITY SUPERVISES:

VII. RISK MANAGEMENT

A. IS THERE A FORMAL RISK MANAGEMENT PROGRAM? YES NO

B. IS THERE A FULL-TIME RISK MANAGER? YES NO
 IF NO, WHAT ARE THEIR OTHER RESPONSIBILITIES AND HOW MUCH TIME IS DEVOTED TO RISK MANAGEMENT?

C. WHAT IS THE NAME AND TITLE OF THE PERSON RESPONSIBLE FOR RISK MANAGEMENT?

 NAME TITLE

D. IS THE RISK MANAGER RESPONSIBLE FOR REVIEWING INCIDENT REPORTS? YES NO

E. IS THERE A WRITTEN INCIDENT REPORTING PROCEDURE? YES NO
 1. IF YES, DOES THIS PROCEDURE REQUIRE REVIEW AND APPROPRIATE CORRECTIVE ACTION BE TAKEN? YES NO
 2. IS THERE A FOLLOW-UP TO ASSURE COMPLIANCE? YES NO

VII. RISK MANAGEMENT (CONTINUED)

- F. IS THERE AN ONGOING QUALITY ASSURANCE (QA) COMMITTEE IN PLACE?** YES NO
1. IF YES, IS THE PERSON RESPONSIBLE FOR RISK MANAGEMENT A MEMBER OF THIS COMMITTEE? YES NO
2. TO WHOM IS THE QUALITY ASSURANCE COMMITTEE ACCOUNTABLE?

NAME _____ TITLE _____

3. WHAT QUALITY INDICATORS ARE MONITORED? PLEASE LIST: _____
4. DOES THE APPLICANT MONITOR INFECTION RATES AT THE FACILITIES? YES NO

- G. IS THERE AN ACTIVE PEER REVIEW PROCESS FOR PHYSICIANS THAT IS PART OF THE QUALITY MANAGEMENT PROGRAM?** YES NO

IF NO, PLEASE EXPLAIN: _____

- H. IS THERE AN ONGOING CONTINUING EDUCATION PROGRAM FOR:**
NURSING STAFF? YES NO
OTHER ALLIED HEALTH PROFESSIONALS? YES NO

- I. NAME OF THE PERSON OUR RISK MANAGEMENT CONSULTANT MAY CONTACT FOR AN ON-SITE VISIT:**
NAME _____ TITLE _____

VIII. CREDENTIALING

- A. WHEN HIRING PROFESSIONALS AND SUPPORT STAFF DOES THE APPLICANT:**
1. VERIFY EDUCATIONAL BACKGROUND? YES NO
2. CHECK ALL REFERENCES INCLUDING PAST EMPLOYERS? YES NO
3. CHECK FOR PENDING LICENSE SUSPENSIONS, REVOCATIONS, OR DISCIPLINARY ACTIONS BY OTHER FACILITIES? YES NO
4. CHECK CRIMINAL HISTORY? YES NO
5. REQUIRE PRIOR MEDICAL PROFESSIONAL CLAIM HISTORY? YES NO

- B. ARE THE CREDENTIALS OF EACH PHYSICIAN REVIEWED BY A MEDICAL STAFF COMMITTEE AND APPROVED BY THE GOVERNING BODY PRIOR TO GRANTING PRIVILEGES?** YES NO

- C. IS AN ONGOING QUALITY ASSURANCE REVIEW MAINTAINED ON ALL STAFF MEMBERS' CLINICAL WORK?** YES NO

- D. DO MEDICAL STAFF BYLAWS REQUIRE EACH PHYSICIAN, PODIATRIST AND DENTIST WORKING AT THE APPLICANT'S FACILITY TO MAINTAIN PROFESSIONAL LIABILITY INSURANCE?** YES NO
1. IF YES, WHAT ARE THE MINIMUM LIMITS OF LIABILITY REQUIRED? \$ _____ / \$ _____
2. ARE CERTIFICATES OF INSURANCE OBTAINED AT LEAST ANNUALLY FROM EACH INDIVIDUAL TO VERIFY COVERAGE IS IN PLACE? YES NO

- E. WHAT ARE THE MINIMUM LIMITS OF LIABILITY REQUIRED FOR NON-PHYSICIAN MEDICAL PROFESSIONALS WORKING AT THE APPLICANT'S FACILITY TO CARRY?** \$ _____ / \$ _____
ARE CERTIFICATES OF INSURANCE OBTAINED AT LEAST ANNUALLY FROM EACH INDIVIDUAL TO VERIFY COVERAGE IS IN PLACE? YES NO

- F. HAS THE LICENSE OF ANY PHYSICIAN, PODIATRIST OR DENTIST PROVIDING SERVICES AT THE CENTER BEEN RESTRICTED, REVOKED OR SUSPENDED IN THE LAST FIVE YEARS?** YES NO
IF YES, PLEASE EXPLAIN: _____

- G. HAS THE APPLICANT MADE REPORTS TO THE NATIONAL PRACTITIONER DATA BANK OF ANY PEER REVIEW ACTION, SUSPENSION OR PROFESSIONAL LIABILITY PAYMENT INVOLVING ANY MEMBER OF THE MEDICAL STAFF DURING THE LAST FIVE YEARS?** YES NO
IF YES, PLEASE EXPLAIN: _____

IX. GENERAL LIABILITY

- IS GENERAL LIABILITY COVERAGE BEING REQUESTED?** YES NO
IF YES, COMPLETE THIS SECTION. IF NO, SKIP TO SECTION X.

- A. PLEASE INDICATE WHICH OF THE FOLLOWING APPLY (IF ANY):**
 DAYCARE CENTER
 HABITATIONAL RISKS (APARTMENT, DWELLING, HOTEL, ETC.)
 SPECIAL ATHLETIC OR FUND RAISING EVENTS
 SWIMMING POOLS
 FITNESS CENTERS
 WATERCRAFT
 SECURITY SERVICE
IF ANY OF THE ABOVE APPLY, PLEASE EXPLAIN: _____

X. EXCESS LIABILITY

IS EXCESS LIABILITY COVERAGE REQUESTED? YES NO

IF YES, COMPLETE THIS SECTION. IF NO, SKIP TO SECTION XI.

A. HAS THE APPLICANT'S EXCESS PROFESSIONAL OR COMMERCIAL GENERAL LIABILITY LIMITS BEEN INCREASED WITHIN THE LAST FIVE YEARS? YES NO

IF YES, WHAT WAS THE PRIOR LIMIT AND WHEN WAS IT INCREASED?

\$ _____ / \$ _____
MM YYYY

XI. COVERAGE HISTORY AND INFORMATION

****NOTE: QUESTION XI. A. IS NOT TO BE COMPLETED IN THE STATE OF MISSOURI.**

A. HAS ANY COMPANY EVER CANCELLED OR REFUSED TO OFFER INSURANCE COVERAGE TO THE APPLICANT? YES NO

IF YES, PLEASE PROVIDE DETAILS: _____

B. PLEASE CHECK WHICH TYPE OF NOTICE THE APPLICANT'S PRESENT PROFESSIONAL LIABILITY INSURER REQUIRES BEFORE IT WILL FORMALLY RECOGNIZE A CLAIM UNDER ITS POLICY:

SUMMONS AND COMPLAINT OR ATTORNEY DEMAND LETTER.

WRITTEN NOTICE FROM THE APPLICANT THAT A POTENTIALLY COMPENSABLE EVENT HAS OCCURRED.

C. HAS THE APPLICANT CONDUCTED A RECENT REVIEW OF ALL KNOWN CLAIMS AS WELL AS ANY INCIDENTS WHICH MAY GIVE RISE TO FUTURE CLAIMS? YES NO

IF YES, HAS THE APPLICANT FORWARDED THEM TO THE APPLICANT'S CURRENT INSURER? YES NO

IF YES, PROVIDE THE DATE OF THE REVIEW AND THE NAME AND TITLE OF THE PERSON CONDUCTING THE REVIEW:

MM YYYY NAME TITLE

D. PLEASE PROVIDE THE APPLICANT'S INSURANCE HISTORY FOR THE LAST FIVE YEARS:

POLICY PERIOD	MOST RECENT YEAR	1 YEAR PRIOR	2 YEARS PRIOR	3 YEARS PRIOR	4 YEARS PRIOR
PROFESSIONAL LIABILITY					
INSURANCE COMPANY					
LIMITS					
CLAIMS-MADE (CM) OR OCCURRENCE (O)					
PREMIUM					
GENERAL LIABILITY					
INSURANCE COMPANY					
LIMITS					
CLAIMS-MADE (CM) OR OCCURRENCE (O)					
PREMIUM					
EXCESS LIABILITY					
INSURANCE COMPANY					
LIMITS					
CLAIMS-MADE (CM) OR OCCURRENCE (O)					
PREMIUM					

XII. LOSS INFORMATION (IMPORTANT! COMPLETE FULLY)

FOR EACH CLAIM, POTENTIAL CLAIM OR SUIT MENTIONED BELOW, PLEASE COMPLETE SECTION I (LOSS HISTORY) OF THE IMAGING CENTER SUPPLEMENTAL APPLICATION.

A. HAS THE APPLICANT (INDEPENDENTLY OR THROUGH A NAMED INSURED) BEEN INVOLVED NOW OR IN THE PAST, DIRECTLY OR INDIRECTLY, IN A CLAIM, POTENTIAL CLAIM, OR SUIT ARISING OUT OF THE RENDERING OR FAILING TO RENDER PROFESSIONAL SERVICES INVOLVING FORMER OR PRESENT PARTNERS, MEMBERS OF THE CORPORATION OR ANY FORMER OR PRESENT EMPLOYEE OR INDEPENDENT CONTRACTOR OF THE CORPORATION, PARTNERSHIP OR ORGANIZATION? YES NO

IF YES, HOW MANY? _____

IF YES, HAVE THESE BEEN REPORTED TO THE APPLICANT'S INSURER? YES NO

B. DOES THE APPLICANT OR ANY OF ITS EMPLOYEES/CONTRACTORS HAVE KNOWLEDGE OF ANY INCIDENT, OR UNEXPECTED ADVERSE OUTCOME RESULTING IN INJURY OR DEATH, CLAIM, POTENTIAL CLAIM, OR SUIT IN WHICH THE APPLICANT MAY BECOME INVOLVED, INCLUDING WITHOUT LIMITATION, KNOWLEDGE OF ANY INJURY ARISING OUT OF THE RENDERING OR FAILING TO RENDER PROFESSIONAL SERVICES WHICH MAY GIVE RISE TO A CLAIM INVOLVING FORMER OR PRESENT PARTNERS, MEMBERS OF THE CORPORATION, OR ANY FORMER OR PRESENT EMPLOYEE OR INDEPENDENT CONTRACTOR OF THE CORPORATION, PARTNERSHIP OR ORGANIZATION WHICH MAY GIVE RISE TO A CLAIM? YES NO

IF YES, HOW MANY? _____

IF YES, HAVE THESE BEEN REPORTED TO THE APPLICANT'S INSURER? YES NO

XIII. ATTACHMENTS

A COPY OF THE FOLLOWING INFORMATION MUST BE SUBMITTED WITH THIS APPLICATION. IF NOT AVAILABLE, PLEASE EXPLAIN.

- A. A COPY OF THE APPLICANT'S CERTIFICATE/ACCREDITATION INCLUDING ANY RECOMMENDATIONS MADE.
- B. **FINANCIAL INFORMATION.** THE MOST RECENT THREE (3) YEARS OF FINANCIAL STATEMENTS INCLUDING THE AUDITOR'S OPINION, IF APPLICABLE.
- C. **MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS.**
- D. **COPY OF THE APPLICANT'S LETTERHEAD.**
- E. **LIST OF OPERATIONS OR ACTIVITIES PERFORMED THAT ARE NOT OTHERWISE DESCRIBED IN THE APPLICATION.**
- F. **LOSS INFORMATION.** RECENTLY VALUED LOSS RUNS FROM THE APPLICANT'S INSURANCE CARRIERS COVERING THE LAST TEN (10) FULL YEARS. THE LOSS INFORMATION SHOULD INCLUDE PAID AND RESERVED AMOUNTS.
- G. **ANNUAL REPORT** (IF ONE IS PUBLISHED).
- H. ALL CURRENT **ADVERTISING MATERIALS.**
- I. ORGANIZATIONAL CHART INCLUDING THE **NAMES OF ALL ENTITIES AND A BRIEF DESCRIPTION OF OPERATIONS.**
- J. **COPY OF THE APPLICANT'S CURRENT INSURANCE POLICY.**

XIV. IMPORTANT NOTICE

THIS INSURANCE MAY CONTAIN CLAIMS MADE COVERAGE. CERTAIN COVERAGES OF THIS INSURANCE MAY BE LIMITED TO LIABILITY FOR INJURIES FOR WHICH CLAIMS ARE FIRST MADE AND REPORTED DURING THE POLICY PERIOD ARISING OUT OF INCIDENTS OR ACTS THAT FIRST OCCURRED ON OR AFTER THE APPLICABLE RETROACTIVE DATE. PLEASE READ AND REVIEW THE POLICY CAREFULLY.

XV. FRAUD NOTICE

MANDATORY: ALL APPLICANTS MUST READ THE FOLLOWING:

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DECEIVE, OR, DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION OR FAILS TO PROVIDE COMPLETE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND MAY BE PROSECUTED UNDER STATE LAW AND MAY BE GUILTY OF A FELONY AND SUBJECT TO CRIMINAL AND CIVIL PENALTIES, FINES, DENIAL OF INSURANCE OR CONFINEMENT IN PRISON.

XVI. STATE SPECIFIC NOTICES

If Delaware: National Fire & Marine Insurance Company recognizes the rights afforded to individuals under The Delaware Civil Union & Equality Act of 2011 and Delaware Bulletin No. 46 including the following: Parties to a civil union shall have all of the same rights, protections and benefits, and shall be subject to the same responsibilities, obligations and duties, under Delaware law as are granted to, enjoyed by, or imposed upon married spouses. A party to a civil union shall be included in any definition or use of the terms "dependent", "family", "husband and wife", "immediate family", "next of kin", "spouse", "stepparent", "tenants by the entirety", and other terms, whether or not gender-specific, that denote a spousal relationship or a person in a spousal relationship, as those terms are used throughout Delaware law. For all purposes of Delaware laws that refer to marriage or marital status, other than Chapter 1 of Title 13 of the Delaware Code, parties to a civil union will be included in such reference. The Act automatically recognizes as civil unions for all purposes of Delaware law legal unions between two persons of the same sex, such as civil unions, marriages and domestic partnerships that are validly formed in jurisdictions other than Delaware and are substantially similar to Delaware civil unions.

If Illinois: National Fire & Marine Insurance Company recognizes the rights afforded to individuals under Illinois Bulletin 2011-06 And The Religious Freedom Protection and Civil Union Act which states: "The parties to a civil union are entitled to the same legal obligations, responsibilities, protections and benefits that are afforded or recognized by the laws of Illinois to spouses. The law further provides that a party to a civil union shall be included in any definition or use of the terms "spouse," "family," "immediate family," "dependent," "next of kin," and other terms descriptive of spousal relationships as those terms are used throughout Illinois law. This includes the terms "marriage" or "married" or variations thereon. If policies of insurance provide coverage for children, the children of civil unions must also be provided coverage. The Act also requires recognition of civil unions or same sex civil unions or marriages legally entered into in other jurisdictions."

If Rhode Island: **THIS INSURANCE CONTRACT HAS BEEN PLACED WITH AN INSURER NOT LICENSED TO DO BUSINESS IN THE STATE OF RHODE ISLAND BUT APPROVED AS A SURPLUS LINES INSURER. THE INSURER IS NOT A MEMBER OF THE RHODE ISLAND INSURERS INSOLVENCY FUND. SHOULD THE INSURER BECOME INSOLVENT, THE PROTECTION AND BENEFITS OF THE RHODE ISLAND INSURERS INSOLVENCY FUND ARE NOT AVAILABLE.**

XVII. PLEASE READ AND SIGN

By my signature, I hereby represent that the Named Insured has extended to me full authority to execute this application on his, her or the facility/entity's behalf and that I am authorized to represent and sign on behalf of the Named Insured, or any person, or facility/entity requesting coverage in this insurance application. I also represent that I have reviewed the responses contained in this application and represent them to be complete and accurate to the best of my knowledge. In addition, I understand and agree that such representations are binding upon the Named Insured and all persons and facility(ies)/entity(ies) even though I am executing this application on their behalf.

I further acknowledge that any and all responses to questions, statements and explanations made in this application, or in any and all documents, supplemental pages or other attachments (hereinafter "**Attachments**") are true and that neither I, nor any applicant, have knowingly suppressed or misstated any material facts and I, and any applicant, agree that this application, and any **Attachments**, shall be the basis of the contract with the Company.

I AGREE THAT IF I FAIL TO COMPLY WITH THESE TERMS THE APPLICANT **WILL HAVE NO COVERAGE FOR ANY CLAIM UNDER ANY POLICY OF INSURANCE FOR WHICH WE ARE APPLYING.**

Completion of this form does not bind coverage or obligate the Company to offer coverage. The Company's receipt of the applicant's acceptance of the Company's quotation is required before the coverage may be bound and the policy issued. I further understand and agree that I, or any applicant, have no right to demand or expect coverage until the Company has: (1) received the completed application(s); (2) offered a premium quote; and (3) received, as a precondition to coverage, the total premium due or, if the Company has agreed to finance the premium, the first installment due.

